

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number	10/525,151
Filing Date	02/18/2005
First Named Inventor	Uwe FALK et al.
Art Unit	
Examiner Name	
Attorney Docket Number	2002DE429

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/R.S./	AA	US- 4,814,514	03/21/1989	YOKOTA	
	AB	US- 4,966,981	10/30/1990	TAKAI	
	AC	US- 5,324,862	06/28/1994	YOKOTA	
	AD	US- 5,332,854	07/26/1994	YOKOTA	
/R.S./	AE	US- 5,380,784	01/10/1995	USUKI	
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			
	AK	US-			
	AL	US-			
	AM	US-			
	AN	US-			
	AO	US-			
	AP	US-			
	AQ	US-			
	AR	US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
/R.S./	BA	DE 101 04070 A1	08/02/2001	MECKING	Abstract	<input checked="" type="checkbox"/>
/R.S./	BB	JP A 11-71340	03/16/1999	Asaki Denka	Abst & Claims	<input checked="" type="checkbox"/>
/R.S./	BC	JP 2002097212	04/02/2002	KA0 Corp	Machine Translation	<input checked="" type="checkbox"/>
/R.S./	BD	JP 2002080506	03/19/2002	KA0 Corp	Machine Translation	<input checked="" type="checkbox"/>
/R.S./	BE	JP 61 085429	05/01/1986	DAINIPPON INK	Abstract	<input checked="" type="checkbox"/>

Examiner
Signature

/Robert Sellers/ (09/18/2007)

Date

Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/525,151
Filing Date	02/18/2005
First Named Inventor	Uwe FALK et al.
Art Unit	
Examiner Name	
Attorney Docket Number	2002DE429

Sheet 2

of

2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/R.S./	CA	M.B. URQUIOLA, et al., EMULSION POLYMERIZATION OF VINYL ACETATE USING A POLYMERIZABLE SURFACTANT I. KINETIC STUDIES, J. of Polymer Sci, Vol. 30, (1992) pp 2619-29	<input type="checkbox"/>
/R.S./	CB	M.B. URQUIOLA, et al., EMULSION POLYMERIZATION OF VINYL ACETATE USING A POLYMERIZABLE SURFACTANT III. Mathematical Model, J. of Polymer Sci, Vol. 31, (1993) pp 1403-13	<input type="checkbox"/>
	CC		<input type="checkbox"/>
	CD		<input type="checkbox"/>
	CE		<input type="checkbox"/>
	CF		<input type="checkbox"/>
	CG		<input type="checkbox"/>
	CH		<input type="checkbox"/>
	CI		<input type="checkbox"/>
	CJ		<input type="checkbox"/>

Examiner
Signature

/Robert Sellers/ (09/18/2007)

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.